

Cardale MiFID II RTS 28 Reporting for 01/01/2018 to 31/12/2018

MiFID II RTS 28 Report (Retail Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	66.14	46.68	8.76	52.16	0
MACQUARIE CAPITAL (EUROPE) LIMITED 549300GX4FPMFF91RJ37	13.44	0.41	14.29	57.14	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	7.37	21.21	1.96	48.6	0
NUMIS SECURITIES LIMITED 213800P3F4RT97WDSX47	3.21	4.74	2.5	36.25	0
CENKOS SECURITIES PLC 213800ALVRC52VN3I821	2.72	5.33	0	47.78	0
Interaction	Execution				

Class of Instrument	Structured finance instruments				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	100	100	50	50	0
Interaction	Execution				

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	40.87	41.59	1.73	47.4	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	30.4	26.32	0	67.58	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	18.07	12.74	0	54.72	0
Susquehanna International Securities Limited 635400IAV22ZOU1NFS89	5.7	8.3	2.9	49.28	0
COBADEFFXXX	2.62	8.17	0	36.76	0
Interaction	Execution				

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	63.72	47.76	10.4	60.93	0
MACQUARIE CAPITAL (EUROPE) LIMITED 549300GX4FPMFF91RJ37	13.99	0.51	33.33	61.11	0
Investec Bank PLC 8450VF8TSMHOT6D4K848	10.38	12.98	7.39	84.13	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	4.9	18.04	5.32	41.94	0
JEFFERIES INTERNATIONAL LIMITED S5THZMDUJCTQZBTRV198	2.93	4.85	12.79	77.91	0
Interaction	Execution				

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	91.77	83.48	0	25	0
801F	5.05	0.87	0	0	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	1.42	7.82	0	66.67	0
Investec Bank PLC 8450VF8TSMHOT6D4K848	1.04	1.74	0	0	0
Flow Traders B.V. 549300CLJI9XDH12XV51	0.26	0.87	0	100	0
Interaction	Execution				

Class of Instrument	Debt instruments, Bonds				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	71.56	16.44	0	0	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	27.48	42.46	41.94	19.35	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	0.95	32.88	0	75	0
COBADEFFXXX	0.01	8.22	16.67	0	0
Interaction	Execution				

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top 5 execution venues ranked in terms of trading volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	79.66	65.04	8.84	25.77	0
Peel Hunt LLP 5493007DWNOR4YBM4C84	6.8	10.84	0.51	40.82	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	3.42	6.08	0.91	39.09	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800ITO7QMNO9SFG53	2.22	4.2	2.63	47.37	0
NUMIS SECURITIES LIMITED 213800P3F4RT97WDSX47	1.91	2.54	17.39	23.91	0
Interaction	Execution				