

Cardale MiFID II RTS 28 Reporting for 01 January 2019 to 31 December 2019
MiFID II RTS 28 Report (Retail Client)

Class of Instrument	Equities - Shares & Depository Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	96.78	49.49	8.38	44.64	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	1.15	24.47	1.67	46.67	0
INVESTEC BANK PLC 8450V8TSMH0T6D4K848	0.72	4.62	10.29	63.24	0
CENKOS SECURITIES PLC 213800ALVRC52VN3I821	0.37	3.06	0	55.56	0
JEFFERIES INTERNATIONAL LIMITED 5STHZMDUJCTQZ8TRV98	0.28	3.6	0	75.47	0
Interaction	Execution				

Class of Instrument	Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes & Exchange Traded Commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	46.67	36.45	1.97	37.5	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	28.34	42.45	1.13	71.19	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	22.87	16.07	1.49	85.07	0
INVESTEC BANK PLC 8450V8TSMH0T6D4K848	2.12	5.03	0	85.71	0
Interaction	Execution				

Class of Instrument	Equities - Shares & Depository Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	84.28	48.1	8.81	50.59	0
INVESTEC BANK PLC 8450V8TSMH0T6D4K848	7.25	7.36	8.22	79.45	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	3.69	22.82	5.15	40.5	0
JEFFERIES INTERNATIONAL LIMITED 5STHZMDUJCTQZ8TRV98	2.01	3.77	11.61	78.57	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZ03MK7TV6372	1.2	11.56	4.07	90.12	0
Interaction	Execution				

Class of Instrument	Other Instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	51.15	69.05	0	0	0
JEFFERIES INTERNATIONAL LIMITED 5STHZMDUJCTQZ8TRV98	46.93	2.38	0	0	0
INVESTEC BANK PLC 8450V8TSMH0T6D4K848	1.75	23.81	0	90	0
CENKOS SECURITIES PLC 213800ALVRC52VN3I821	0.09	2.38	0	0	0
FINNCAP LTD 213800DSWHX26RRA1N75	0.08	2.38	0	0	0
Interaction	Execution				

Class of Instrument	Debt Instruments / Bonds				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	68.3	52	15.38	23.08	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	29.45	44	0	54.55	0
PERSHING SECURITIES LIMITED 549300NC3GURNOAEZU06	2.25	4	0	100	0
Interaction	Execution				

Class of Instrument	Equities - Shares & Depository Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	97.04	62.9	5.63	32.79	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	0.83	10.35	1.32	41.45	0
INVESTEC BANK PLC 8450V8TSMH0T6D4K848	0.77	1.43	4.76	33.33	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800IT07QMNQ95FG53	0.31	7.96	0.85	57.26	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	0.21	4.76	2.86	57.14	0
Interaction	Execution				