

Cardale MiFID II RTS 28 Reporting for 01 January 2020 to 31 December 2020
MiFID II RTS 28 Report (Retail Client)

Class of Instrument		Equities - Shares & Depository Receipts (T34)				
Notification if <1 average trade per business day in the previous year		No				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CARDALE ASSET MANAGEMENT LIMITED 213800SMQKWRJHU9X118		61.82	4.52	16.83	38.61	0
374a		26.4	45.68	6.76	18.12	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84		4.39	22.95	1.36	63.94	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		2.07	11.95	2.62	68.16	0
INVESTEC BANK PLC 8450VF8TSMHOTGD4K848		1.42	3.31	1.35	52.7	0
Interaction		Execution				

Class of Instrument		Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes & Exchange Traded Commodities)				
Notification if <1 average trade per business day in the previous year		No				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWNOR4YBM4C84		31.7	34.84	2.95	59.05	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		28.46	32.65	0.55	61.51	0
CARDALE ASSET MANAGEMENT LIMITED 213800SMQKWRJHU9X118		20.26	1.16	0	42.31	0
INVESTEC BANK PLC 8450VF8TSMHOTGD4K848		11.89	17.13	0	85.9	0
374a		7.46	14.04	0	9.87	0
Interaction		Execution				

Class of Instrument		Equities - Shares & Depository Receipts (T56)				
Notification if <1 average trade per business day in the previous year		No				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
374a		43.67	23.57	1.17	95.21	0
CARDALE ASSET MANAGEMENT LIMITED 213800SMQKWRJHU9X118		34.39	3.02	27.46	52.82	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84		11.41	41.79	7.74	59.4	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		4.94	18.35	6.96	63.69	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZ03MK7TV6372		1.92	6.73	3.16	89.24	0
Interaction		Execution				

Class of Instrument		Other Instruments				
Notification if <1 average trade per business day in the previous year		Yes				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		100	100	0	0	0
Interaction		Execution				

Class of Instrument		Debt Instruments / Bonds				
Notification if <1 average trade per business day in the previous year		Yes				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWNOR4YBM4C84		62.41	37.5	6.67	6.67	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		33.6	52.5	33.33	9.52	0
CANACCORD GENUITY LIMITED ZBU7VFSNIMN4ILRF23		3.99	10	0	50	0
Interaction		Execution				

Class of Instrument		Equities - Shares & Depository Receipts (T12)				
Notification if <1 average trade per business day in the previous year		No				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CARDALE ASSET MANAGEMENT LIMITED 213800SMQKWRJHU9X118		59.58	3.32	6.77	30.08	0
374a		37.31	78.32	3.8	10.11	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745		0.6	5.7	7.46	50	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84		0.58	3.37	3.7	52.59	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800IT07QMNQ85FG53		0.36	2.32	0	52.69	0
Interaction		Execution				

Class of Instrument		Structured finance products				
Notification if <1 average trade per business day in the previous year		Yes				
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWNOR4YBM4C84		100	100	0	0	0
Interaction		Execution				